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NEXUS

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OUR ONGOING SERVICE LEVELS

Where you request and we agree to provide an ongoing service to you there will be an additional charge. We will confirm the rate, frequency and length of this ongoing service before it starts. Any ongoing service is optional. For further details please see the Payment for ongoing services in our About Our Services & Fees document.

TRANSACTIONAL SERVICE

You will not be enrolled onto an ongoing service plan. You will instead have to pay for ongoing advice as and when required. Your adviser will not be monitoring the ongoing suitability of advice and will not monitor the performance of the funds. Your adviser will forward all statements from providers so that you can review the performance yourself.

FINANCIAL AWARENESS SERVICE

This is an ongoing review service suitable for clients who have a relatively modest investment or pension portfolio or have some regular premium products such as savings and or pensions and are seeking our help to review and manage these. The aim of this level of ongoing service is to help the customer establish an achievable growth in wealth. This service is also intended to help the customer achieve a sound understanding of the financial markets.

WEALTH MANAGEMENT SERVICE

This is an ongoing review service suitable for clients who have an established investment or pension portfolio, or have a range of assets forming the basis of their wealth and upon which they are seeking to review and manage with our help. The aim of this ongoing service is to help clients maintain and grow the value of their wealth or to ensure that investment income levels are maintained. This service is also intended to help a client increase their knowledge and experience in the financial markets. The service can also provide a wealth preservation strategy which may, where appropriate, take advantage of alternative and non-mainstream investments that offer the opportunity for creating a sustainable growth in the client's wealth.

BESPOKE SERVICE

This is an ongoing review service suitable for clients who believe our other service levels will not meet their specific requirements. All Wealth Management and any additional services are agreed and provided by negotiation.

OUR DELIVERABLES

Ongoing Service Level	Payment Direct from Client or paid through product funds	Examples where the fee is based upon a percentage of the fund value
Financial Awareness	1% of investable assets on which the ongoing service is being provided.	For investable assets of £100,000 the annual charge would be £1,000. Minimum amount £200.00.
Wealth Management Service	1% of investable assets on which the ongoing service is being provided.	For investable assets of £100,000 the annual charge would be £1,000. No minimum amount.
Bespoke	By negotiation	No minimum amount.

Ongoing Review Services	Financial Awareness	Wealth Management	Bespoke
Annual Statements from provider	✓	✓	✓
Ongoing Annual Valuation – (including request)	✓	✓	✓
Ongoing due diligence of funds and products	✓	✓	✓
Annual suitability review of products against original client circumstances	✓		
Annual suitability review of products against current client circumstances		✓	✓
General enquiries regarding existing products	✓	✓	✓
Annual review with IFA / Client liaison team		✓	✓
Newsletters (General) - quarterly	✓	✓	✓
Ad hoc communications regarding pertinent major market developments	✓	✓	✓
Overview of costs incurred during the year	✓	✓	✓
Liaison other Professionals – i.e. accountants	✓	✓	✓